

P-Z1-KE0-031 | MULTI-COUNTRY | EMERGING AFRICA & ASIA INFRASTRUCTURE FUND IV

ESMP MANDATORILY ANNEXED TO THE FINANCING AGREEMENT (FA)

General considerations

1. [EAAIF] is planning to implement the [*EMERGING AFRICA & ASIA INFRASTRUCTURE FUND IV*] Project (the *Project*). The Bank has agreed to provide financing, implementation support and monitoring for the Project.
2. [EAAIF] will implement measures and actions of this Environmental and Social Management Plan¹ (*ESMP*) so that the Project meets all the requirements of the Bank Environmental and Social Operational Safeguards (*OS*) and the National policy and legal requirements.
3. Where the ESMP refers to specific plans, whether they have already been prepared or are to be developed, the ESMP requires compliance with all mandatory provisions of such plans.
4. The table below summarizes the material measures and actions that are required, the basis of the requirement, the timing of the measure or action, and the criteria to be used for determining whether the required measure or action has been successfully achieved. [EAAIF] is responsible for compliance with all requirements of the ESMP even when implementation of specific measures and actions is conducted by an entity different from the Project Implementation Unit (PIU).
5. Implementation of the material measures and actions set out in this ESMP will be monitored and reported to the Bank by [EAAIF] as required by the ESMP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the measures and actions throughout implementation of the Project.
6. As agreed by the Bank and [EAAIF], this ESMP may be revised from time to time during Project implementation, to reflect adaptive risk management of project changes and unforeseen circumstances or in response to assessment of project performance

¹ The ESMP refers to all the E&S risks/impacts and measures, as approved in all the disclosed E&S documents and agreed between the Bank and Borrower. For projects involving multiple subprojects, that are identified, prepared and implemented during the course of the project, the Borrower will need to demonstrate to the Bank, before the project appraisal, through the preparation of E&S documentation of a sample of subprojects, that it has the capacity to carry out appropriate environmental and social assessment of subprojects, and prepare and implement such subprojects in accordance with the national laws and the OSs. (*Section III.2.3 of Bank's ESP and section D of OSI*)

conducted under the ESMP itself. In such circumstances, [EAAIF] will propose and agree changes with the Bank, and then update the ESMP to reflect such changes.

Material Actions² to Manage the Project's E&S Risks and Impacts		Basis for Requirement	Key Performance Indicator	Indicative Timing/Deadline
Periodic E&S implementation report to the Bank		Bank's ESP and OS1	Reports submitted in time, in good standard	Within two weeks after the due period
1	Recruitment of E and S specialists as part of the Project implementation unit	Disclosed ESIA, OS1	Seasoned E&S specialists in the PIU	By Project effectiveness date
2	Establishment of the Project Grievance Redress Mechanism (GRM) and disclosure to Public	OS1, OS10 and National requirements	Fund has a GRM that is accessible to the public	When there is a new stakeholder
3	Payment of compensation and reinstallation of affected people	SO10	Compensation certificates before start of works	Before start of works, as per the agreed ESAP timelines
4	Incorporation of site-specific E&S measures in the request for proposals	SO1 & national requirements	The Fund will request for this when it is involved in the pre-bidding stage	-
5	Submission of high-risk activity's Contractor ESMP (C-ESMP) to Bank clearance	Bank's ESP and OS1	ESMP submitted to EAAIF in good standard	As per the agreed ESAP timelines
6	Establishment of the Contractor's Grievance Mechanism (GM) and information of workers	OS1, OS2, SO10 and Bank's Disclosure and Access to Information Policy	Contractor's Grievance Mechanism (GM) submitted to EAAIF in good standard	As per the agreed ESAP timelines
7	Obtaining nationally required licenses prior commencement of subjected activities (excavations, tree-cutting, working at height, working in confined spaces, etc.)	OS1, OS2 and national labor laws	No. of applicable licenses obtained by underlying portfolio companies	Prior commencement of subjected activities
8	Preparation, approval, and disclosure of specific E&S documents during Project implementation, including prior review of Category 1 terms of reference by the Bank	Bank's ESP, OS1 and national requirements	EAAIF Borrowers to disclose as per the national laws. As per IFC requirements Category A projects will also disclose E&S related reports	-

² Please add any relevant key actions and/or indicate "Not applicable" in the third column ("Basis for requirement") for actions that are not applicable to the project.

			(independent of national law).	
9	Engagement with concerned stakeholders of each relevant specific E&S activity	OS1, OS10, Bank's Disclosure and Access to Information Policy	Proof submitted to EAAIF regarding stakeholders actively engaged and consulted regarding each specific E&S activity throughout the project lifecycle	As per the agreed ESAP timelines
10	Establishment of Emergency Preparedness and Response mechanism	OS1 & OS4, national législation on contingences et	Emergency Preparedness and Response mechanism submitted to EAAIF in good standard	As per the agreed ESAP timelines
11	Appropriate and timely handling of complaints/grievances	Bank's ESP and OS1	Up to date grievance log	As per the agreed ESAP timelines
12	Notification to riparian or alert to downstream exposed peoples	Bank's ESP and OS1, applicable International Treaty/Convention ratified	Proof of Notification	As per the agreed ESAP timelines
13	Capacity building of key project implementers	OS1	Proof of training provided	As per the agreed ESAP timelines
14	Implementation of ESMS/ESAP ³	OS1 and OS9, national requirements	ESAP deliverable submitted to EAAIF in good standard	As per the agreed ESAP timelines
14.1	<i>Approval of any required E&S management procedure</i>	Ditto	Approval issued by EAAIF/ LESA	As per the agreed ESAP timelines
14.2	<i>Establishment of the E&S unit</i>	Ditto	Where applicable	As per the agreed ESAP timelines
14.3	<i>Capacity Building of the E&S Unit</i>	Ditto	Where applicable	As per the agreed ESAP timelines
14.4	<i>Processing the Value Chain E&S due diligence</i>	Ditto	Where applicable	As per the agreed ESAP timelines
15	Suspending works in the event of EOHS risk or incident, immediately notify the Bank, and resume works only upon no-objection of the Bank.	Bank's ESP	Incident notification sent to the Bank within stipulated timeframe	Immediately and no later than 72 hours after being notified
16	Prepare the root-cause analysis (RCA) of any fatal EOHS incident and implement the Corrective Action Plan (CAP).	Bank's ESP and OS1	Investigation report submitted to EAAIF in good standard	Following an incident

³ Applies to non-sovereign operations and public sector projects implemented by permanent autonomous Agencies/Institutions.

17	Disclosure of Project's E&S reports to the public	OS1, OS10, Bank's Disclosure and Access to Information Policy	<p>Where applicable RAP/ LRP documents should be disclosed by the portfolio companies to affected communities/ stakeholders and made publicly available,</p> <p>Comply with the national obligations to disclose ESIA's,</p>	<p>Prior to the implementation of the project</p> <p>As per the legislated timeframes</p>
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